

04/27/01
JC879 U.S. PTO



04-30-01

Atty. Docket No.: 18433/2002

PATENT

2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Gruber, et al.
Serial No.: Not Yet Assigned
Filed: April 27, 2001
Entitled: "Clean Synthetic Vectors, Plasmids,
Transgenic Plants and Plant Parts Containing
Them, and Methods for Obtaining Them"

U.S. PTO
09/845064
04/27/01

CERTIFICATE OF MAILING UNDER 37 CFR §1.10

I hereby certify that the paper (and any paper or fee referred to as being enclosed) is being deposited with the United States Postal Service using Express Mail to Addressee Service, under 37 C.F.R. Section 1.10, **Express Mail Label No. EL728729938US** on this date, **April 27, 2001**, postage prepaid, in an envelope addressed to Box: Patent Application, Commissioner for Patents, Washington, D.C. 20231.

Kathleen Williams

Name of Person Mailing Paper

Signature of Person Mailing Paper

Box: Patent Application
Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER

Enclosed for filing in the above-identified patent application, please find the following documents:

1. New Patent Application Transmittal;
2. Patent Application Specification with Sequence Listing, (166 pages);
3. (29) sheets of informal drawings;
4. Application Data Entry Sheet;
5. Statement Under 37 C.F.R. § 1.821(f) with Attached Disk; and
6. Return Post Card.

Pursuant to 37 C.F.R. § 1.27, Applicant claims small entity status. Payment of the requisite filing fee has been deferred under 37 C.F.R. §1.53(f).

Respectfully submitted,

Date: April 27, 2001

Kathleen Williams
Registration No.: 34,380
Palmer & Dodge LLP
One Beacon Street
Boston, MA 02108
Tel: 617-573-0100

04-30-01

1A

Express Mail Label No.: EL728729938US

Date of Deposit: April 27, 2001

NEW UNITED STATES UTILITY PATENT APPLICATION TRANSMITTAL

Atty. Docket No.: 18433/2002

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10. ☒ Statement of Small Entity Status, Pursuant to 37 C.F.R. §1.27.
11. ☐ Microfiche Computer Program (Appendix).
12. ☐ Nucleotide and/or Amino Acid Sequence Submission.
☐ Computer Readable Copy.
☐ Paper Copy (identical to computer copy).
☐ Statement verifying identity of above copies.
13. ☐ Request for Non-Publication and Certification under 35 USC 122 (b)(2)(B)(i)
14. Calculation of Fees:

FEES FOR	TOTAL CLAIMS	EXCESS CLAIMS	FEE	AMOUNT DUE
Basic Filing Fee (37 C.F.R. § 1.16(a))				\$710.00
Total Claims in Excess of 20 (37 C.F.R. § 1.16(c))	63	43	\$18.00	\$774.00
Independent Claims in Excess of 3 (37 C.F.R. § 1.16(b))	4	1	\$80.00	\$80.00
Multiple Dependent Claims (37 C.F.R. § 1.16(d))	Y		\$270.00	\$270.00
Subtotal - Filing Fee Due				\$1,834.00
Reduction by 50%, if Small Entity (37 C.F.R. §§ 1.9, 1.27, 1.28)	MULTIPLY BY 0.50			\$917.00
TOTAL FILING FEE DUE				\$917.00
Assignment Recordation Fee (if applicable) (37 C.F.R. § 1.21(h))	0		\$40.00	
GRAND TOTAL DUE				\$917.00

15. PAYMENT is:
☐ included in the amount of _____ by our enclosed check. The Commissioner is hereby authorized to charge any deficiencies in the fees to Deposit Account 16-0085, Ref. _____.
☒ not included, but deferred under 37 C.F.R. § 1.53(f).
16. All correspondence for the attached application should be directed to:
Kathleen Williams
Customer Number 27495
17. Other: Statement Under 37 C.F.R. § 1.821 (f) and Patent Data Entry Sheet

Respectfully submitted,

Date: April 27, 2001By: Name: Kathleen Williams
Registration No.: 34,380

NEW UNITED STATES UTILITY PATENT APPLICATION TRANSMITTAL

Atty. Docket No.: 18433/2002

CERTIFICATE OF MAILING UNDER 37 CFR 1.10

I hereby certify that the paper (and any paper or fee referred to as being enclosed) is being deposited with the United States Postal Service using Express Mail to Addressee Service, under 37 C.F.R. Section 1.10, **Express Mail Label No. EL728729938US** on this date, **April 27, 2001**, postage prepaid, in an envelope addressed to Box: Patent Application, Commissioner for Patents, Washington, D.C. 20231.

Kathleen Williams

Name of Person Mailing Paper

Signature of Person Mailing Paper

Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

Enclosed herewith is a new patent application of:

First Named Inventor (or application identifier): Grueber, et al.

Title of Invention: "Clean Synthetic Vectors, Plasmids, Transgenic Plants and Plant Parts Containing Them, and Methods for Obtaining Them"

Type:

☐

New

☒

Continuation of: PCT/IB00/01243

☐

Continuation-in-Part of:

☐

Divisional of:

and includes the following papers:

1. ☒ Specification 166 pages (including specification, claims, abstract)/63 claims (4 independent)
2. ☒ Declaration/Power of Attorney is:

☐ attached ☐ executed ☐ unexecuted
☒ NOT included, but deferred under 37 C.F.R. § 1.53(f).
3. ☒ 29 Distinct sheets of ☐ Formal ☒ Informal Drawings
4. ☐ Preliminary Amendment.
5. ☐ Information Disclosure Statement

☐ Form 1449
☐ A copy of each cited prior art reference
6. ☐ Assignment with Recordation Cover Sheet.
7. ☒ Priority is hereby claimed under 35 U.S.C. § 119 based upon the following application(s):

Country	Application Number	Date of Filing (day, month, year)
France	99111112	3 September, 1999

8. ☒ Priority is hereby claimed under 35 U.S.C. § 120 based upon the following application(s):

Country	Application Number	Date of Filing (day, month, year)
PCT	PCT/IB00/01243	4 September, 2000

9. ☐ Priority document(s).

Date of Deposit: April 27, 2001

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Gruber et al.

U.S. Serial No.: Not yet assigned

Filed (U.S.): April 27, 2001

Entitled: Clean Synthetic Vectors, Plasmids,
Transgenic Plants and Plant Parts
Containing Said Vectors, and Their
Methods of Production

Group: Not yet assigned

Examiner: Not yet assigned

Attorney Docket No.: 18433/2002

Assistant Commissioner of Patents and Trademarks
Washington, D.C. 20231

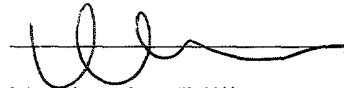
STATEMENT UNDER 37 C.F.R. §1.821 (f)

Sir:

In accordance with 37 C.F.R. §1.821 (f) I hereby state that the paper copy and the computer readable form of the Sequence Listing submitted herewith in the above-identified patent application are supported in the application and contain no new matter. I hereby state that the information recorded in computer readable form is identical to the written sequence listing.

4/27/01

Date



Kathleen M. Williams
Reg. No. 34, 380
Attorney for Applicant
Palmer & Dodge LLP
One Beacon Street
Boston, MA 02108
Phone: (617) 573-0451
Fax: (617) 227-4420